

Single particle characterisation in biologics: from mid-infrared micro-spectroscopy and mapping to spectral imaging

Patrick Garidel

Boehringer Ingelheim Pharma GmbH & Co. KG, Process Science, Protein Science, Biberach an der Riss, Germany.
E-mail: patrick.garidel@boehringer-ingelheim.com

Introduction

Protein pharmaceuticals, also known as biologics, are parenterals and, in most cases, are applied via infusion or injection through a sub-cutaneous application. Protein pharmaceuticals are very sensitive drugs, prone to a number of degradations and, as a consequence, complex analytical procedures are required to fully assess their product quality. The pharmaceutical quality of biologics is a key property necessary to their safety and efficacy. Over the last few years, the presence of particles and protein aggregates has received increased attention from academia and industry, as well as regulators. In particular, the potential presence of proteinaceous particles and protein aggregates are linked with inducing severe biological consequences such as immunogenicity, altered bioactivity or altered pharmacokinetics. A number of methods and strategies for the investigation, analysis and characterisation of particles have been reported.^{1,2}

The term particles, as used in this article, includes un-dissolved species, other than gas bubbles, that are unintentionally present in the product.² Therefore, the term particles covers foreign and extrinsic particles as well as proteinaceous and protein particles and aggregates.

Although a number of methods are available for counting particles, their identification and chemical

characterisation is more challenging, especially for the analysis of single particles in the μm size range or lower.

In a number of studies, it has been shown that spectroscopic techniques are powerful methods for the characterisation of biologics and the investigation of the formation of protein aggregates and particles.²⁻⁴ However, in most cases, usually only the bulk properties of the investigated samples are derived from such measurements.

Analytical methods that have been used or have the potential for the chemical analysis of single particles include energy-dispersive X-ray spectroscopy (EDX), Raman and infrared micro-spectroscopy and, in specific cases, fluorescence microscopy, whereas particle morphology is currently usually assessed by either optical microscopy, flow imaging microscopy (FIM), atomic force microscopy (AFM), or electron microscopy (EM).^{5,6}

While vibrational techniques such as infrared micro-spectroscopy have been successfully used in medical diagnostics, for example, the characterisation of tissues and single cells,⁷⁻¹¹ the application of infrared micro-spectroscopy in pharmaceutical biotechnology, especially for single particle characterisation, is not common.

Therefore, this article (together with the Further Reading on-line section, see below, <http://tinyurl.com/GaridelSupp>) describes the potentials, methods,

some applications and trends for the use of mid-infrared (spectral range: $4000-400\text{ cm}^{-1}$) micro-spectroscopy for the investigation and chemical characterisation of single particles in protein pharmaceuticals. (Near infrared micro-spectroscopy applications will not be covered). More background information (supplementary information) and references to these mid-infrared micro-spectroscopy approaches and practices as well as associated instrumentation may be read on-line at: <http://tinyurl.com/GaridelSupp>.

Infrared micro-spectroscopy Sample preparation

A major challenge to the analysis requirements is the isolation of a particle sample, especially for very small particles. As described recently, in general, a liquid containing the sample [for example, particle(s)] has to be carefully filtered by using a gold filter with a specific pore size (for example $5\mu\text{m}$) and washed.⁶ The isolated particle(s) is then dried on the filter and directly examined on the gold-coated substrate. Although this procedure sounds simple, it can be very challenging to isolate small particles that are suspended in a liquid, because one has to make sure not to lose the particle(s), which may stick to all surfaces, as well as avoiding destroying of the particle(s). For such investigations, it is recommended that particle isola-

tion is performed under a clean air environment, in order to avoid external particle contaminations. However, if the particle of interest is too small, for example smaller than 5–10 μm in diameter, it becomes very difficult to characterise the particle by single spot infrared micro-spectroscopy.

Data evaluation

For the chemical identification of particles or sample spots, it is necessary to build up an appropriate database which is based on potential contaminants or materials. Therefore, potential sources of particle formation have to be identified and reference samples obtained for infrared micro-spectroscopic evaluations. Furthermore, especially for chemical imaging data evaluation, a variety of algorithms can be usefully applied such as correlation with pure component spectra, cluster analysis, or principle component analysis (PCA), just to name a few.¹²

Example results and applications

Figure 1 shows an example of an infrared micro-spectroscopy set-up (Hyperion, Bruker Corporation) which can be used for single point spectroscopy [either transmission or attenuated total reflection (ATR) mode] or for mapping experiments. The microscope is interfaced to a Fourier transform infrared (FT-IR) spectrometer. Using an ATR set-up, an internal pressure sensor ensures an optimal sample-to-ATR crystal contact during data acquisition. In the inset of Figure 1, a whitish particle of *ca* 30 μm in diameter is shown. The microscope aperture was set to 20 μm \times 20 μm , resulting in an effective ATR sampling area of 4 μm \times 4 μm , and the corresponding single-spot infrared spectrum (spectral resolution of 4 cm^{-1} , 128 scans) is shown in Figure 2(a), #1.

To aid identification of the chemical composition and nature of the particle, different reference spectra originating from potential sources are also shown in Figure 2(a). Spectrum #2 represents a native protein sample, #3 a thermal denatured protein, while #4 originated from a polymeric seal. Comparison with

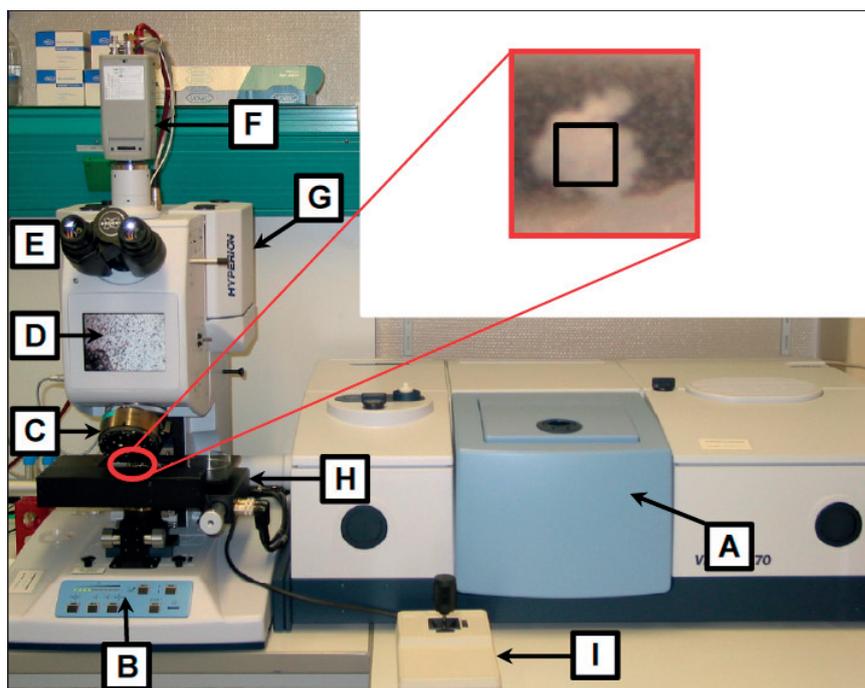


Figure 1. An example set-up and main components of a mid-FT-IR microscope: (A) FT-IR spectrometer (Vertex 70, Bruker), (B) microscope (Hyperion 2000, Bruker) coupled to the infrared spectrometer, (C) ATR objective (20 \times , Ge crystal), (D) LCD monitor, (E) ocular, (F) digital video camera, (G) MCT (mercury cadmium telluride) detector, (H) x-y microscope stage, (I) microscope stage control stick. A visible image of particle being investigated is shown in the red square and the particle spot area characterised by infrared micro-spectroscopy is delimited by the 20 μm \times 20 μm aperture (black square) of the microscope.

the spectrum (#1) of the unknown particle shows that the particle is composed of protein and the seal polymer. A more detailed investigation of the amide I band region (1600–1700 cm^{-1}), which allows for the determination of the protein secondary structure elements (alpha-helix, beta-sheet content etc.) and, thus, changes in protein secondary structure gives further insight in the protein conformation [Figure 2(b)].¹³ The native protein structure shows a band maximum at 1655 cm^{-1} (#2Z), whereas the amide I of the denatured protein is characterised by two band maxima located at 1650 cm^{-1} and 1620 cm^{-1} (#3Z). A similar feature is observed for the protein [Figure 2(b), #1Z] in contact with the polymer material. Thus, the particle was identified as a mixture of denatured protein and polymer.

An example of another particle investigated by ATR micro-spectroscopy as a single spot (50 μm \times 50 μm) is shown in Figure 3 (spectrum #1). Comparison with a reference spectrum obtained

from a protein sample (Figure 3, spectrum #2), shows that the particle is not proteinaceous. A database search has identified two potential sources: spectrum #3 originates from a primary packing rubber stopper and spectrum #4 from a detergent present in the drug product (Figure 3). Correlation maps and scores (data not shown here) were generated to show the probability of source origin.

Infrared micro-spectroscopic experiments may be run in either transmission or reflection mode. By comparing transmission spectra with, for example, ATR spectra of exactly the same sample, one will observe intensity changes as well as band distortions. These are due to the wavelength-dependent depth penetration in ATR. However, this can easily be corrected as most current spectrometers have transformation software procedures for converting ATR spectra to transmission spectra and vice versa (see supplementary information, <http://tinyurl.com/GaridelSupp>).

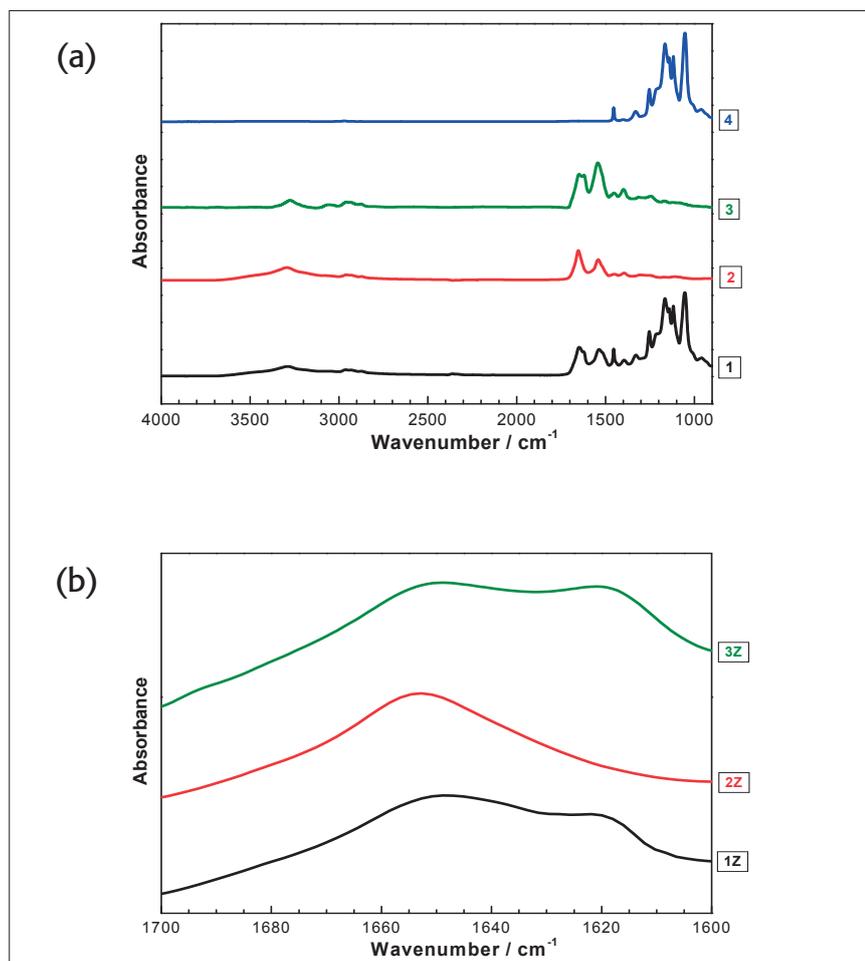


Figure 2. (a) Mid-infrared spectrum (1) of a particle investigated by single particle mid-infrared micro-spectroscopy ($50\ \mu\text{m} \times 50\ \mu\text{m}$). Various reference spectra are shown to help identify the potential source of the particle: (2) mid-infrared spectrum of a native protein, (3) mid-infrared spectrum of a denatured protein, (4) mid-infrared spectrum of a polymer particle. (b) Zoom on the amide I band ($1600\text{--}1700\ \text{cm}^{-1}$) of spectra [1–3 of Figure 2(a)]: (1Z) investigated particle, (2Z) native protein and (3Z) denatured protein.

to 30 single-spot spectra were taken (each $\approx 100\ \mu\text{m}$ in size at a spectral resolution of $4\ \text{cm}^{-1}$, 100 scans). Three exemplifying selected spots with their corresponding spectra are shown in Figure 4(b), indicating that the sample chemistry, in this case, is quite homogeneous. A chemical image (not shown) of the sample can be generated to illustrate this.

Examples of current trends in the field of mid-infrared micro-spectroscopy are reported in the supplementary information(see \rightarrow link) of this paper.

Conclusions

Over the last few years, a number of various applications in life and material sciences have shown how powerful FT-IR micro-spectroscopy and imaging are for the analysis of samples at a lateral spatial ratio in the μm range, allowing for a full chemical characterisation of a sample via its mid-infrared spectrum. For unequivocal chemical particle characterisation and identification, an appropriate infrared spectral database needs to be set up. A big challenge for the chemical characterisation of small samples is safe sample preparation and especially their isolation and containment. Furthermore, new, user-friendly instruments become available at reasonable cost. Using a single-spot infrared micro-spectroscopic measure-

A mapping experiment is based on the fact that a high precision computer-controlled microscope stage is used to precisely translate a sample in the x and y directions. At consecutive positions across the surface of the sample, a single point measurement is performed and a single spectrum recorded. The investigated single point area of the sample is defined by the aperture of the microscope [illustrated in Figure 4(a)]. Thus, using the mapping approach, the entire sample surface can be raster scanned and, if required, a mosaic (tiled) false-colour or grey-scale image generated.

Using the mapping approach, the black particle shown in Figure 4(a) was analysed. In this analysis example, up

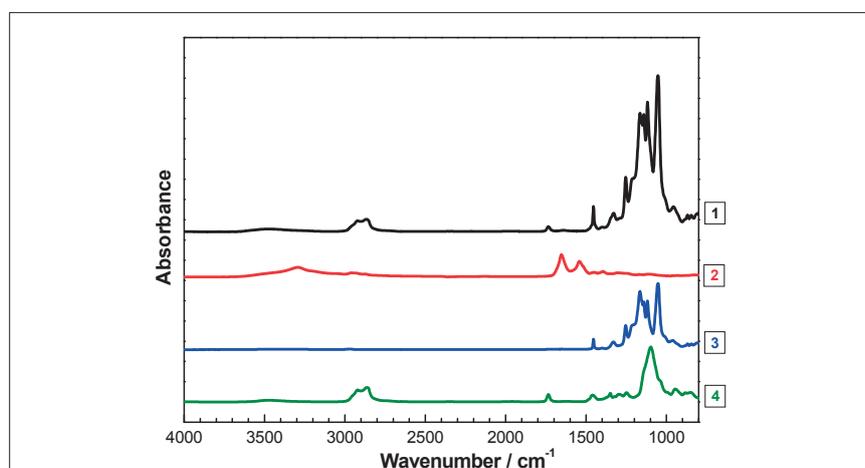


Figure 3. Mid-infrared spectrum (1) of a particle investigated by single particle mid-infrared micro-spectroscopy. Various reference spectra are shown to help identify the potential source of the particle: (2) mid-infrared spectrum of a native protein, (3) mid-infrared spectrum of a polymer, (4) mid-infrared spectrum of an excipient (detergent).

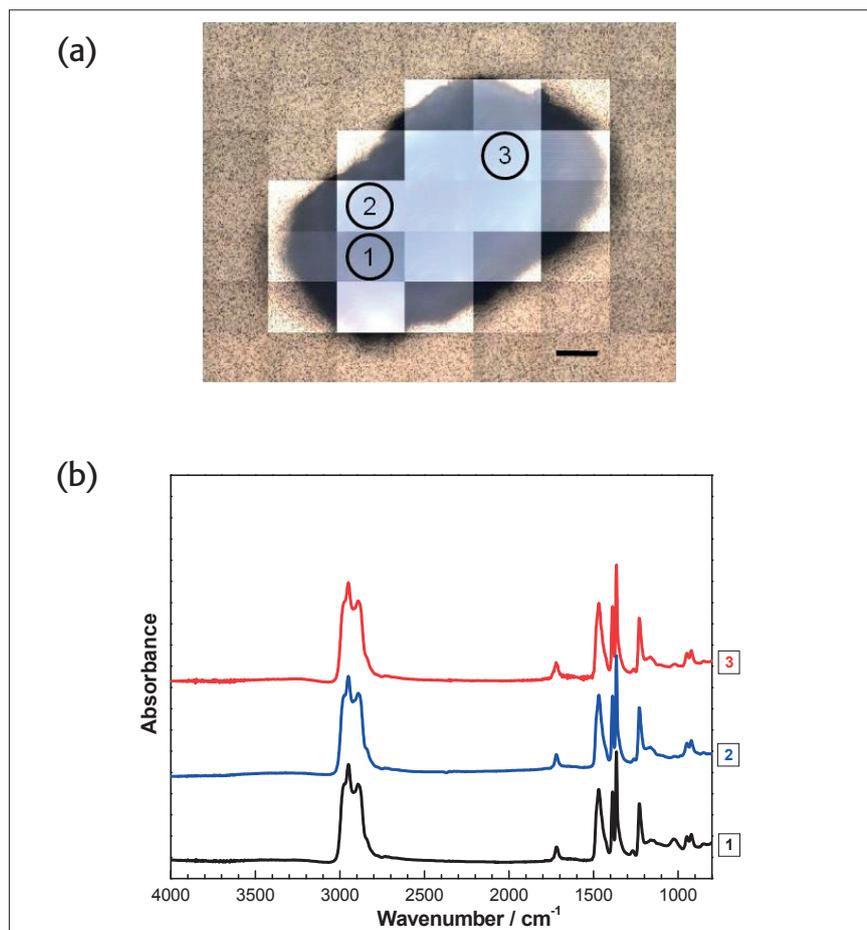


Figure 4. (a) Microscopic image of an investigated particle by ATR in the mapping mode. Corresponding mid-infrared spectra of three exemplifying selected spots 1–3 of the sample are shown in (b). Scale bar: 100 μm

ment technique, samples down to *ca* 10 μm in diameter can be routinely and successfully analysed. Using focal plane array detectors, even smaller particle sizes (1–5 μm) may potentially be characterised.

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Supplementary information information is available online at <http://tinyurl.com/GaridelSupp>:

- background of infrared micro-spectroscopy
- micro-spectroscopy techniques
- measurement options and detection, infrared radiation sources
- spatial lateral resolution
- additional references